

ORIGINAL

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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE RECD	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/590,534	05/10/2007	1725	1030	3926.283	10	2

CONFIRMATION NO. 8970

30448  
 AKERMAN SENTERFITT  
 P.O. BOX 3188  
 WEST PALM BEACH, FL 33402-3188

## FILING RECEIPT



\*OC000000025752904\*

Date Mailed: 09/12/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Wilfried Bien, Seevetal-Emmelndorf, GERMANY;  
 Jan Peter Elfeldt, Hamburg, GERMANY;  
 Michael Halves, Hamburg, GERMANY;  
 Thomas Huelsberg, Buchholz, GERMANY;  
 Uwe Klussmann, Bispingen, GERMANY;  
 Hildwin Kuzi, Eppelheim, GERMANY;  
 Holger Nuhn, Schneverdingen, GERMANY;  
 Ralf Puenjer, Seevetal/Fleestedt, GERMANY;  
 Olaf Reinhardt, Hamburg, GERMANY;  
 Dirk Riewert, Hamburg, GERMANY;  
 Alfred Riggers, Freetz-Lengenbostel, GERMANY;  
 Thorsten Sternal, Moisburg, GERMANY;

## Assignment For Published Patent Application

DaimlerChrysler AG, BorgWarner Turbo Systems AG

Power of Attorney: The patent practitioners associated with Customer Number 30448.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP05/01873 02/23/2005

## Foreign Applications

GERMANY 10 2004 009 109.9 02/25/2004

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 SEP 17 2007

AUGUSTA/CHAMBERS/DOCT/DOJ

**If Required, Foreign Filing License Granted: 09/10/2007**

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/590,534**

**Projected Publication Date: 12/20/2007**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Method for Connecting a Sheet Metal Component, Such as a Pipe, to a Cast Metal Component, Such as a Housing Port, in Particular for an Exhaust System

**Preliminary Class**

228

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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 BorgWarner Turbo Systems AG - only

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**10/590534**

Serial No.: 3926.283 Docket No.: 3926.283

Client: DaimlerChrysler AG

**Commissioner for Patents**

Sir:

Please acknowledge receipt of the paper(s) noted hereon by stamping the date received and returning this card to the undersigned.

Respectfully,



**Akerman Senterfitt**  
ATTORNEYS AT LAW

IAP7 Rec'd PCT/PTO

- Application
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- Sheets of Drawing 1
- Declaration/POA
- Fee (\$ 1030.00)
- Amendment/Response PRELIMINARY
- Request for Extension of Time
- Assignment & Recordation Cover Sheet
- Copy of Notice of Missing Parts
- Transmittal Letter
- PTOL-85 Fee Transmittal
- IDS, PTO/SB008A&B, Refs.
- W 2005/080045 w 15 R
- TRANSLATION

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25 AUG 2006

original postcard  
w/ filing date of 37/08/06

STEPHAN A. PENDORF COMPA :222 LAKEVIEW AVENUE

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Company: 222 LAKEVIEW AVENUE  
Fax Number: 15616596313  
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JULY 31, 2007

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WEST PALM BEACH, FL 33401

UNITED STATES PATENT AND TRADEMARK OFFICE  
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/26/2007

REEL/FRAME: 019611/0325  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 3926-283

ASSIGNOR:  
DAIMLERCHRYSLER AG

DOC DATE: 07/02/2007

ASSIGNEE:  
BORGWARNER TURBO SYSTEMS GMBH  
MARNHEIMER STRASSE 85-87  
KIRCHHEIMBOLANDEN, GERMANY D-67292

SERIAL NUMBER: 10590534 FILING DATE:  
PATENT NUMBER: ISSUE DATE:  
TITLE: METHOD FOR PROCESSING WORKPIECE SURFACES

USPTO

8/1/2007 9:13:18 PM PAGE 3/004 Fax Server

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019611/0325 PAGE 2

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PUBLIC RECORDS DIVISION

STEPHAN A. PENDORF COMPANY 222 LAKEVIEW AVENUE

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version V1.107/26/2007  
500321989

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><tr><td>Name</td><td>Execution Date</td></tr><tr><td>DaimlerChrysler AG</td><td>07/02/2007</td></tr></table>		Name	Execution Date	DaimlerChrysler AG	07/02/2007						
Name	Execution Date										
DaimlerChrysler AG	07/02/2007										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>BorgWarner Turbo Systems GmbH</td></tr><tr><td>Street Address:</td><td>Marnheimer Strasse 85-87</td></tr><tr><td>City:</td><td>Kirchheimbolanden</td></tr><tr><td>State/Country:</td><td>GERMANY</td></tr><tr><td>Postal Code:</td><td>D-67292</td></tr></table>		Name:	BorgWarner Turbo Systems GmbH	Street Address:	Marnheimer Strasse 85-87	City:	Kirchheimbolanden	State/Country:	GERMANY	Postal Code:	D-67292
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Postal Code:	D-67292										
PROPERTY NUMBERS Total: 1											
Property Type	Number										
Application Number:	10590534										
CORRESPONDENCE DATA											
Fax Number:	(561)659-6313										
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone:	561-653-5000										
Email:	rhonda.bawek@akerman.com										
Correspondent Name:	Stephan A. Pendorf										
Address Line 1:	222 Lakeview Avenue										
Address Line 2:	Suite 400										
Address Line 4:	West Palm Beach, FLORIDA 33401										
ATTORNEY DOCKET NUMBER:	3926-283										
NAME OF SUBMITTER:	Stephan A. Pendorf										
Total Attachments: 1 source=assignment#/page1.tif											

CH \$40.00 10590534

This assignment is effective as of the 02. DAY of July 2007.

Whereas **DaimlerChrysler AG** having its principal place of business at Epplestraße 225, D-70567 Stuttgart, Germany is the owner of the following United States patent application/s:

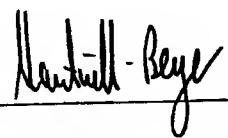
<u>Country</u>	<u>Numbers</u>
<b>USA</b>	<b>(10/590,534)</b>

Whereas, **BorgWarner Turbo Systems GmbH**, having its principal place of business at **Marnheimer Strasse 85-87, D-67292 Kirchheimbolanden/Germany**, is desirous of acquiring the entire right/s, title/s and interest/s in and to the patent application/s identified above and patents resulting therefrom, including reissues, reexamined patents, divisions and continuations of the above-identified patent applications;

Now, therefore, for good and valuable consideration, the receipt of which is hereby acknowledged, DaimlerChrysler AG has sold, assigned and transferred, and nunc pro tunc as of July 02, 2007, hereby sells, assigns and transfers to **BorgWarner Turbo Systems GmbH** entire right/s, title/s and interest/s in and to the said patent application/s listed above and patents resulting therefrom, including reissues, reexamined patents, continuations and divisions of said patent applications, said patent applications to be held and enjoyed by **BorgWarner Turbo Systems GmbH** for its own use and for the use of its legal representatives, successors and assigns, to the full end of the term for which the said patent applications listed above will be granted, as fully and entirely as the same would be held by **DaimlerChrysler AG** had this assignment not been made, together with all claims for past infringement of said patents listed above and with the right to sue for and to collect damages for such infringement.

SIGNED THIS 02. DAY OF July 2007

Signature:



Signature

Full name of signatory:

i. V. Lierheimer

i. V. Mantwill-Beyer

Designation:

Authorized representative/s